



***National Research, Development and Innovation Office (NKFIH) –  
Austrian Science Fund (FWF)***

# **CALL FOR PROPOSALS Joint Projects**

<b>1</b>	<b>Introduction .....</b>	<b>1</b>
1.1	Project duration .....	1
<b>2</b>	<b>Lead Agency Procedure .....</b>	<b>2</b>
2.1	Defining the Lead Agency .....	2
2.2	Cost Calculation .....	2
<b>3</b>	<b>Deadlines .....</b>	<b>2</b>
<b>4</b>	<b>How to submit a proposal .....</b>	<b>2</b>
4.1	<b>FWF acts as Lead Agency .....</b>	<b>2</b>
4.1.1	Formal requirements .....	3
4.1.1.1	Clinical Research .....	3
4.1.2	Requirements for Applicants at NKFIH .....	3
4.2	<b>NKFIH acts as Lead Agency .....</b>	<b>3</b>
4.2.1	Formal requirements .....	3
4.2.2	Requirements for Applicants at FWF .....	4
4.2.2.1	Clinical Research .....	4
<b>5</b>	<b>Contacts .....</b>	<b>5</b>

## **1 Introduction**

The *Austrian Science Fund (FWF)* and the *National Research, Development and Innovation Office (NKFIH)* of Hungary jointly offer the possibility to apply for bilateral Austrian-Hungarian Joint Research Projects. Joint Research Projects should combine the **complementary expertise** of the project partners. The project parts should be **closely integrated** and there should be substantial **scientific input** from both sides. **All areas of basic research**, including clinical research, are eligible.

### **1.1 Project duration**

Joint Projects submitted to the call between NKFIH and FWF can have a maximum duration of **four years**.

## 2 Lead Agency Procedure

Applications will be dealt with following the **Lead Agency Principle**. This means that the joint proposal has to be submitted to **only one** of the two funding organizations. This funding organization in the sequel acts as the Lead Agency and **carries out the international peer review process**. The Lead Agency will make a **funding suggestion** which is presented to the partner funding organization. The partner funding organization will take its funding decision on the basis of the recommendation of the Lead Agency as well as on the basis of the reviews obtained by the Lead Agency. Typically, the partner funding organization follows the recommendation of the Lead Agency. If approved, the two project parts will be **financed separately** by FWF and NKFIH respectively.

### 2.1 Defining the Lead Agency

In order to define the Lead Agency, applicants must calculate the costs of the Austrian and Hungarian project part **in accordance with the guidelines of FWF and NKFIH respectively**. The joint proposal must be submitted only to the funding agency **at which the larger funding sum is requested**. This funding agency acts as Lead Agency.

### 2.2 Cost Calculation

The two project parts will be **funded separately** by NKFIH and FWF respectively. Thus, the costs for the Austrian project part must be calculated in accordance with the FWF guidelines for stand-alone projects/Einzelprojekte or Clinical Research (KLIF) respectively. For the Hungarian project part, the NKFIH cost guidelines apply. Please note that for the Hungarian project part the maximum application sum is 48 Mio. HUF.

## 3 Deadlines

If FWF acts as Lead Agency, proposals can be submitted anytime. There is **no deadline**.

If NKFIH acts as Lead Agency, proposals must be submitted to one of two annual NKFIH **calls (proposal type ANN)**. The application forms must be submitted via the OTKA – EPR as **NN type of proposal** (Electronic Proposal for Research: <https://www.otka-palyazat.hu/index.php?menuid=210&lang=EN>)

## 4 How to submit a proposal

### 4.1 FWF acts as Lead Agency

#### 4.1.1 Requirements at FWF

Proposals submitted to FWF as Lead Agency must follow the [FWF guidelines for stand-alone projects](#) (for proposals in the area of clinical research see 4.1.1.1). The project description must encompass the entire project, including the Hungarian project part.

Proposals submitted to FWF **must include additional information on:**

- The **distribution of work** between the partners
- The **added value** of the co-operation
- **Separate budget justifications** for the Austrian and the Slovenian project part, divided by country (both for personnel and non-personnel costs)

The 20 page limit applies.

Proposals can be submitted **online** via <https://elane.fwf.ac.at> (programme category “**I – International Programmes**”).

##### 4.1.1.1 Clinical Research

For proposals in the area of clinical research, the [guidelines of the FWF programme for Clinical Research](#) (KLIF) apply. The Austrian applicant must submit a positive opinion from the relevant **ethics commission** or evidence of a fundamental approval/endorsement by that commission. The document must be sent to FWF together with the other submission documents. The Hungarian partner must follow the rules of NKFIH.

#### 4.1.2 Requirements at NKFIH

**For Hungarian applicants all eligibility criteria for NKFIH K type of call for proposals and fund limits** apply (a **maximum of HUF 48 million for four years**, which may be reached even if no employment is included in the proposal).

Even if FWF acts as the Lead Agency, Hungarian applicants have to **submit** the proposal to **NKFIH** (online system and by mail) at the time of the Austrian submission. For details, cf. the [call information](#) on the NKFIH website.

## 4.2 NKFIH acts as Lead Agency

#### 4.2.1 Requirements at NKFIH

**Proposal/Project description is submitted to NKFIH**, following the application guidelines of NKFIH ANN” type call for proposals (<http://nkfi.gov.hu/palyazatok/hazai-kfi-palyazatok/nkfi-palyazatok/palyazati-felhivasok/2017/nemzetkozi-kutatasi-temapalyazat/nn-ann-snn-17>).

Applications to NKFIH **must include additional information on :**

- the **distribution of work** between the partners (included in the workplan)
- the **added value** of the co-operation (included in the research plan)
- **separate budget justifications** for the Austrian *and* the Hungarian part (both for personnel and non-personnel costs); the Austrian partner can upload its budget and budget justification as PDF among other attachments

#### 4.2.2 Requirements at FWF

For Austrian applicants the **eligibility criteria** as well as the **cost guidelines** for **“stand-alone projects” (“Einzelprojekte”)** or, in the case of clinical research, for the programme for **Clinical Research (KLIF)** apply.

**Austrian applicants** must submit their **administrative and financial data** as well as **abstracts** in accordance with the FWF guidelines for stand-alone projects online, using the Elane system (<https://elane.fwf.ac.at>; programme category **“I – International Programmes”**).

##### 4.2.2.1 Clinical Research

For proposals in the area of clinical research, the **guidelines of the FWF programme for Clinical Research (KLIF)** apply with regard to the eligibility criteria as well as the cost guidelines. The Austrian applicant must submit a positive opinion from the relevant ethics commission or evidence of a fundamental approval/endorsement by that commission **at the latest four months after the submission** of the project proposal. The document must be sent to FWF together with the documents listed in 4.2.2. The Hungarian partner must follow the rules of NKFIH.

## 5 Contacts

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