

## FWF Application Guidelines for Reimbursement of Peer-Reviewed Publication Costs

**Rules** Publication costs for open access to peer-reviewed journal articles, individual contributions to anthologies/collections,<sup>1</sup> etc., which result in whole or in part from projects supported by the FWF, can be claimed through a PUR application under the “Peer-Reviewed Publications” Programme during the project term up to and including three years after the end of the project. In programmes with several funding periods, the end of a funding period is regarded as the end of the project when applying for funding of publication costs.

**Open Access** In accordance with the [Berlin Declaration](#) and Plan S of [cOAlition S](#), the FWF requires all project leaders and project staff members to publish their peer-reviewed publications open access on the Internet if they result in whole or in part from projects supported by the FWF.

### **Guidelines for projects approved from 1 January 2021:**

The conditions of the [FWF's Open Access Policy](#) apply.

**Publication in an open access publication venue (Gold Open Access):** In addition to the project budget, the FWF reimburses the costs incurred for a publication in an open access publication venue that fulfils the Gold Open Access conditions as outlined in the FWF's Open Access Policy.

**Publication in a transformative open access publication venue (Hybrid Open Access):** In addition to the project budget, the FWF covers the costs incurred for a publication if a transformative open access agreement exists between the publisher and the FWF and/or an Austrian research institution (see [list of agreements](#)).<sup>2</sup>

### **Guidelines for projects approved between 1 November 2014 and 31 December 2020:**

The following version of the FWF's [Open Access Policy](#) applies.

**Gold Open Access:** In addition to the project budget, the FWF reimburses the costs incurred for a publication in an open access publication venue that fulfils the Gold Open Access conditions as outlined in the FWF's Open Access Policy.

**Hybrid Open Access:** In addition to the project budget, the FWF covers the costs incurred for a publication if a transformative open access agreement exists between the publisher and the FWF and/or an Austrian research institution (see [list of agreements](#)). In addition to the project budget, the FWF reimburses the costs up to €1,500 for an open access publication in a subscription journal. Should the costs exceed this amount, authors are required to choose another publication venue or Green Open Access. In addition, the difference can be covered by funds from an ongoing FWF project or by other options (e.g., cost sharing with co-authors).

**Other costs:** Costs for contributions in subscription formats that are not linked to open access (e.g., page charges, colour figures, and membership fees) are not reimbursed by the FWF. Should such costs be claimed, authors are requested to choose another publication venue, to use the global budget of an ongoing FWF project, or to apply for other funds.

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<sup>1</sup> For the funding of individual contributions to anthologies/collections, please see the [FAQ](#).

<sup>2</sup> Please note that reimbursement for articles in transformative journals is only possible if the journals are included in a transformative open access agreement.

**Guidelines for projects approved before 1 November 2014:**

Gold und Hybrid Open Access: The costs per publication should not exceed €3,000 but are possible (please consult the FWF).

Other publication costs: In the case of journal articles, the FWF will finance page charges, colour charges, submission fees, and membership fees (if this significantly reduces the costs of the articles) in addition to the costs of open access, but not versions such as “covers”, offprints, or similar.

## Checklist

**Conditions** To apply for the reimbursement of costs, the following conditions must be fulfilled:

- The publication must have undergone a peer review and fulfil the publication requirements as outlined in the FWF's Open Access Policy.
- The following text<sup>3</sup> must be included in the acknowledgement of the publication: "This research was funded in whole, or in part, by the Austrian Science Fund (FWF) [Grant number]. For the purpose of open access, the author has applied a CC BY public copyright licence to any Author Accepted Manuscript version arising from this submission."<sup>4</sup>
- Publication costs can be reimbursed up to 36 months after the end of the project or after the end of the funding period of the project. Duly completed PUR applications must be received by the FWF within this period.

**Application** The principal investigator first pays the publication fees to the publisher and then submits a PUR application (see "Procedure") via the elane platform. Provided that this application is duly completed and fulfils the conditions for peer-reviewed publications, the FWF will reimburse the costs to the account that paid the fees in advance.

Please note:

- Cross-funding between FWF projects is not permitted.
- Payment in advance from an account of an ongoing §27 project is not permitted.
- Researchers should avoid paying in advance from a private account.
- The FWF does not make foreign transfers for the payment of publication costs.

**Exception** The FWF has several agreements with publishers under which publication costs are covered directly and do not require payment in advance. See [list](#) of publishers.

**Documents**

1. An electronic version of the publication (\*.pdf, no scanned documents)
2. Original invoice (\*.pdf) of the publisher. Please note that a clear link must be established between the invoice and the article, e.g., via the persistent identifier or the title on the invoice.
3. Proof of payment in euros (\*.pdf)
  - (a) Credit card statement and/or copy of bank transfer: the IBAN of the credit card or bank account must be shown in full, and
  - (b) an SAP expense list including stamp and signature of the bursar's or finance office of the research institute.

In both cases, a clear link between the invoice and the proof of payment must be evident.

Bank charges, taxes, and exchange rate gains and losses can be considered, but they must be clearly identified in the application and the amounts must be clearly attributable and evidenced by an accounting document.

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<sup>3</sup> If the project was approved before 1 January 2021, the following text must be included in the acknowledgement: Austrian Science Fund (FWF): Project number

<sup>4</sup> For publications in German: „Diese Forschung wurde gänzlich oder teilweise durch den Wissenschaftsfonds FWF finanziert [Projektnummer]. Zum Zweck des freien Zugangs hat der:die Autor:in für jedwede akzeptierte Manuskriptversion, die sich aus dieser Einreichung ergibt, eine „Creative Commons Attribution CC BY“-Lizenz vergeben.“

**Procedure**

Applications are submitted in elane, the FWF's electronic application system:  
<https://elane.fwf.ac.at/>

- 1) Log in to the elane platform and create a new application.
- 2) Select the "PUR – Peer-Reviewed Publications" funding category and fill out the "application form" and the "publication form".
- 3) Add the necessary documents in the "Attachments" section.
- 4) Once the information is complete, finalise the application to send it to the FWF.

For step-by-step instructions, please see [Quick Guide](#).

**Contact**

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