In accordance with its Funding Guidelines of 1 January 2022 (as amended), the FWF has issued the following Application Guidelines for Stand-Alone Publications – Book Publications (valid starting 15 October 2022)
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1. General Information

1.1 Aim of the programme

The aim is to promote the publication of research projects (hereinafter referred to as "applications") in the field of basic research. Basic research refers to research that is knowledge-oriented and whose value is primarily defined by its significance for the advancement of science and scholarship. The programme’s funding is intended to enable the publication of independent, non-profit, scholarly research findings from all academic disciplines in an appropriate form.

The sole standard applied in funding decisions is the state of the art in research at the international level. Only excellent scientific/scholarly publications that meet the current scientific standards of the respective discipline in terms of content and form and which can be expected to broaden scientific/scholarly knowledge significantly or to advance research in the field in question can be funded.

Aim of funding for open access publication

By funding open access publications, the FWF aims to provide sustainable support for free access to scholarly publications on the internet in line with its Open Access Policy. The mandatory inclusion of an open access version of book publications in the FWF-E-Book-Library is intended to increase the visibility of publications and encourage their dissemination.

Aim of funding for proofreading and editing and foreign-language editing

Funding is provided for proofreading and editing or foreign-language editing in order to enhance publication quality, to increase the visibility of publications, to encourage the dissemination of research results by improving their readability, to support the internationalisation of research, and to ensure that Austrian research output is also disseminated outside of German-speaking countries and regions.

Aim of funding for translations

Funding is provided for translations in order to enable the dissemination of Austrian research results to the international scientific community.

For each publication, the FWF will provide funding for translation into one language only. The target language can be chosen by the author(s) but must be relevant to the field of research in question.

1 In the Stand-Alone Publications programme, the term research projects refers to publication projects.
1.2 Submission

There are no submission deadlines for this programme; applications can be submitted at any time. Applications must be submitted online at [https://elane.fwf.ac.at](https://elane.fwf.ac.at).

Once the application has been submitted online, a PDF cover sheet will be generated. An application is not considered to have been formally submitted until the FWF has received the original cover sheet, signed by the applicant, and the publisher's signed declaration of commitment, scanned and uploaded to elane (see section 2.2.3).

1.3 Who is eligible to apply?

Eligible applicants are individual natural persons who conduct their research activities mainly in Austria or under the auspices of an Austrian research institution abroad. Even in the case of co-authorship, the application can only be submitted by one individual natural person per publication.

In the case of anthologies/collections, the editor shall act as the applicant. In such cases, either the editor must be eligible to apply for FWF funding or more than 50% of the contributions must be written by researchers who predominantly conduct their scholarly work in Austria or under the auspices of an Austrian research institution.

All persons who have made substantial research-related contributions to the conception and writing of the manuscript should be named on the form Co-authors. A brief description of the nature of each contribution should be included. Applicants must ensure that they obtain all necessary exploitation rights to the publication from the co-authors in order to be able to fulfil their obligations to the FWF under the grant agreement.

Authors outside of Austria

Publications submitted by authors (editors) who work outside of Austria are only funded if the work arises from an FWF-funded research project. The author is always required to submit the application in this case.

The FWF provides funding for the publication of revised doctoral theses and for postdoctoral venia docendi theses (Habilitationen) by researchers abroad up to three years after completion of the work in question; these grants are only available for theses written and approved under the auspices of an Austrian research institution. Researchers who hold professorships abroad are not eligible to apply for these FWF grants.

1.4 What types of publications can be funded?

In accordance with the aims of this programme, funding is available for stand-alone publications in any academic discipline.
The funding can be used for book publications like monographs, edited volumes, or similar works. Applications are submitted independently of other FWF programmes.

The FWF approves grants for stand-alone publications only in cases where it would not be possible to publish the work in high quality without additional financial support.

Authors (editors) are free to choose the form in which their work is published. In general, authors (editors) are also expected to consider new forms of publishing and to choose the form which is best suited for the dissemination of their research findings. Applications may be rejected if the review procedure reveals that a different form of publication would be more suitable.

For the funding of digital publication formats by the FWF, see Application Guidelines for Stand-Alone Publications - Digital Publications.

**Accelerated decision-making procedure for publications resulting from FWF projects:**

Applications for the funding of scientific book publications on the results of FWF-funded projects may be eligible for an accelerated decision-making procedure. To be eligible, the intended publication must be based on a project that has already successfully undergone FWF’S quality control procedure. In addition, the publisher must submit two positive, relevant reviews to the FWF that meet the FWF’s decision-making requirements.

Applications for an accelerated decision may only be submitted if:

1) The intended publication is the result of a project funded by the FWF
2) The publisher has subjected the proposed publication to an international peer review process


### 1.5 What types of publications are not eligible?

**Content-wise:**

- Books honouring a specific person, organisation, or event (*Festschriften*)
- Proceedings of conferences and congresses without a specific focus
- Anthologies/collections of works without a specific focus
- Editions without substantial indexing and systematisation or scientific commentary
- Academic journals or scientific journals, except for journals or special issues of journals which are equivalent to anthologies/collections with a special focus in terms of content
- Articles in specialist periodicals or journals
- Study aids and textbooks
- New editions, unless they are reviewed and revised editions presenting mainly new research results
- Reprints of articles which have already been published elsewhere
- Bibliographies
- Exhibition catalogues, guides to collections
- Works of exclusively local interest, chronicles of municipalities or towns/cities
- Activity reports
- Photography or coffee table books, reprints
- Popular science publications
- Academic theses below doctoral level (such as diploma, master’s or bachelor’s theses)
- Dissertations if the applicant has not yet completed the doctoral qualification procedure and if the dissertation has not yet been revised
- Publications which do not include at least one index (for instance, subject index, place index, name index).

Formal aspects:

- Publications which are already in production (typesetting, printing, etc.)
- Publications which have already appeared

1.6 What requirements must be met to apply?

1.6.1 Publisher

If the work is being published by a publishing house, the author (editor) must submit a completed and signed declaration of commitment from their chosen publisher with the funding application.

Through their choice of publisher, the author (editor) ensures a maximum level of quality and international visibility for the given publication. Applicants should choose a renowned academic publisher which specialises in the relevant discipline. For the sake of enhancing the international orientation of Austrian research, the FWF welcomes the selection of renowned publishers outside of Austria (which fulfil the appropriate criteria) as well as publications in English. Please note that applications may be rejected if the publisher chosen does not ensure high quality or international visibility.

Publications without a publisher or self-publishing is only permitted in cases where the author (editor) can demonstrate that suitable promotional and distribution measures will be taken.

1.6.2 Granting of rights

As contractual partners of the FWF, the author (editor) and the selected publisher must ensure that the rights listed below are granted.

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2 For further information on indices, please see: Information on the submission and publication of indices
Open-access archiving and Creative Commons licence

The author and the publisher shall ensure that the rights for simultaneous open-access archiving are available. The FWF will simultaneously archive the open-access version of the publication in its FWF-E-Book-Library.

For the archived version, one of the following of Creative Commons licences must be selected: Attribution (CC BY 4.0) or Attribution Non-Commercial (CC BY-NC 4.0). This is in compliance with the requirements of the Open Access Scholarly Publishers Association (OASPA).

Please note that the licence required for archiving in the FWF E-Book Library must correspond with the rights required from the publisher for this purpose.

The author (editor) must ensure that the rights to stand-alone publications are not assigned to the publisher in full. In addition, the author (editor) must agree upon terms and conditions with the publisher as well as any other persons involved in the publication (e.g., co-authors, editors, etc.) which enable simultaneous open-access publication and online archiving.

Publications are required to be archived in the FWF E-Book Library, and may also be made available on the publisher's website, on online portals devoted to the specific discipline, in university research databases, or on research project websites.

Licencing and copyright information in the publication

The copyright information page of the funded publication (both the hard-copy and open-access versions) must state the licence under which the open-access version of the publication is published. The licence specified in the grant agreement and the following wording must be used:

- “This publication is licenced - unless otherwise indicated - under the terms of the Creative Commons Attribution 4.0 International (CC BY 4.0) licence (http://creativecommons.org/licenses/by/4.0/), which permits use, sharing, adaptation, distribution, and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and source, provide a link to the Creative Commons licence, and indicate any modifications,” or
- “This publication is licenced, unless otherwise indicated, under the terms of the Creative Commons Attribution-Non-Commercial 4.0 International (CC BY-NC 4.0) licence (https://creativecommons.org/licenses/by-nc/4.0/), which permits use, sharing, adaptation, distribution, and reproduction in any medium or format, provided you give appropriate credit to the original author(s) and source, provide a link to the Creative Commons licence, and indicate any modifications. Use for commercial purposes is not permitted.”

The inclusion of the phrase “All rights reserved. This work is protected by copyright. Any use outside the narrow limits of copyright law is prohibited.” or similar formulations as well as the use of licences restricting the CC BY or CC BY-NC licence in the publication are not permitted and are legally invalid.
Safeguarding the rights of users to third party materials

If images or other third-party material are used in the publication, the following additional wording must be included in the legal and copyright information:

▪ “The images or other third-party material in this publication are covered by the publication’s Creative Commons licence, unless otherwise indicated in a reference to the material.
▪ If the material is not covered by the publication’s Creative Commons licence and the intended use is not permitted by law or exceeds the permitted use, permission for use must be obtained directly from the copyright holder.
▪ Despite careful editing, all information in this publication is provided without guarantee; any liability on the part of the author, the editor, or the publisher is excluded.”

Exceptions to the open-access requirement

In exceptional cases, the requirement of providing an identical digital copy for open-access publication can be waived, for example in the event of exorbitantly high image copyright costs. In such cases, a text-only version (with an indication of image sources) is to be archived in the FWF E-Book Library. Image copyright costs must be documented.

Acknowledgement of FWF funding

The author and the publisher must ensure that the FWF’s support is acknowledged in the publication. The legal and copyright information of the publication must include the following notice in a font size of at least 12 pt.: “Published with the support of the Austrian Science Fund (FWF): [PUB application number]” and the FWF logo. If the publication is based on the results arising from one or more FWF-funded research projects, the notice above shall be preceded by the information “Research results from: Austrian Science Fund (FWF): [project number(s)]”.

1.6.3 Proofreading and editing, foreign-language editing, or translation

The proofreading and editing, foreign-language editing, or translation of stand-alone publications is to be performed by external copy editors, foreign-language editors, or translators who were not involved in the publication. Authors (editors) are not permitted to perform the proofreading and editing, foreign-language editing, or translation themselves. The name of the copy editor or translator must be communicated to the FWF.

Under the following conditions, the FWF will fund copy editing (foreign-language editing) carried out prior to the submission of the application:
Reviews did not indicate the need for any further copy editing (foreign-language editing). The publisher or the applicant is required to provide the FWF with a record of the changes made in order to enable verification of the (foreign-language) editing work (see section 4.3). In

other words, the FWF must be able to verify that (foreign-language) editing was carried out prior to the submission of the manuscript.

1.6.4 Double funding

Grants approved by or requested from other funding sources must be disclosed in all cases (see application forms). Double funding is not permitted (see Funding guidelines).

1.7 What types of funding can be requested?

Funding can be requested for book publications which could not be implemented or published without additional financial support.

Funding is available for the following costs:

- Production of publication
- Proofreading and editing, foreign-language editing, or translation
- Simultaneous open-access publication (see section 2.3).

Please note that funding is not available for the following costs:

- Authors’ fees
- Equipment purchases
- Publishers’ infrastructure costs (general publishing overheads, fees for the publishers’ employees except for copy editors, foreign-language editors, and translators)
- Costs of translations from English into any other language

2. Application content and form

2.1 Parts of the application

For an application to be complete, it must contain the following parts:

1) Academic abstract in German or English\(^4\) comprising no more than 3,000 characters (incl. spaces; no formulas or special characters) The academic abstract will be used to inform potential reviewers about the application. The abstract must be subdivided into the following sections using the given terms:

- Wider research context / theoretical framework
- Hypotheses / research questions / objectives
- Approach / Methods
- Level of originality / innovation

\(^4\) If the manuscript is written in a language other than German or English, the academic abstract must be written in English.
Primary researchers involved
Where alternatives are indicated between slashes, please select the alternative that applies to your application.

2) Manuscript
Please upload your manuscript as a PDF file in a well-structured and legible form. Proofreading and editing, foreign-language editing, and translation do not have to be completed before submission.

Manuscripts are generally forwarded to reviewers by email. If the manuscript contains a large amount of data, the FWF will send it to the reviewer using a cloud-based service or on a secure USB flash drive. Applicants are required to provide the FWF with a suitable USB flash drive.

If the manuscript should to be sent to reviewers in hard copy, this must be indicated in an accompanying cover letter. In this case, you need to submit a print-out of the manuscript to the FWF together with the cover sheet.

All manuscripts must include the following elements:

- Complete text
- Table of contents
- Acknowledgments (if desired)
- Introduction
- Summary
- List of references
- All necessary indices (e.g., subject index, place index, name index), without page no. references
- Table of abbreviations (if applicable)
- Table of figures (if applicable)
- Figures (if applicable)

3) Attachments to be uploaded individually

- **Required:** Declaration of commitment by the publisher, grounds for the choice of publisher, table of contents and list of references cited in the stand-alone publication

- **Where applicable:** Accompanying cover letter; list of reviewers to be excluded, cost calculation for the entire publication if applying for the Modul_Zusatzkosten, copies of all assessments for publications which serve as a basis for academic qualifications (e.g. revised doctoral theses, postdoctoral venia docendi theses), grounds for the choice of language and the scientific added value of translation, for resubmissions: overview of all changes made in the resubmitted application and response(s) to reviews, etc.

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5 For further information on indices, please see: Information on the submission and publication of indices
4) Completed forms
   Required forms: Application form, Contact form, Cost breakdown, Academic abstract, Co-authors

2.2 Formal requirements and application process

2.2.1 Language of application

The application must be submitted in German if the manuscript is written in German. If the manuscript is written in another language, the application must be submitted in English.

2.2.2 Formatting

All attachments must be written in 11 pt. font with 15-20 pt. spacing and at least 2 cm margins. Applicants must comply strictly with all upper limits (e.g., number of pages, attachments, etc.).

2.2.3 Submitting the application

The application must be submitted online at https://elane.fwf.ac.at.

To submit the application online, applicants need to create an account at the address shown above. All the necessary forms are filled out online; additional documents such as the manuscript and the declaration of commitment by the publisher are uploaded as files. For additional information, please see the elane user manual.

1) Required parts of the application:

a) Files:
   - Certification.pdf (= declaration of commitment by the publisher)
   - Manuscript.pdf (= manuscript in a well-structured, easy-to-read format)
   - Publisher_choice.pdf (= grounds for the choice of publisher)
   - Content_Biblio.pdf (= table of contents and list of references used in the stand-alone publication)

b) Forms:
   - Application form
   - Contact form
   - Cost breakdown
   - Academic abstract
   - Co-authors
2) File uploads – if applicable:

- **Cover_Letter.pdf** (= accompanying letter)
- **Negative_list.pdf** (= list of reviewers who should be excluded)
- **Costs.pdf** (= cost calculation for the entire publication if applying for the Modul_Zusatzkosten)
- **Reviews.pdf** (= copies of all assessments for publications which serve as a basis for academic qualifications)
- **Justification.pdf** (= short explanation of the choice of language and the scientific added value when applying for translations; max. 1 A4 page)
- **Overview_Revision.pdf** (= in the case of resubmission, overview of all changes made in the resubmitted application)
- **Revision.pdf** (= in the case of resubmission, an overall response to all the reviewers or, if preferred, a short response to each reviewer saved in a separate file: Revision_A.pdf, Revision_B.pdf, etc.)

It should be noted that any attachments in addition to the ones mentioned above will not be considered in further stages of the application process (such as letters of recommendation).

Once the application has been submitted, a **PDF cover sheet** will be generated automatically. The cover sheet also includes the declaration by the applicant.

This cover sheet has to be sent to the FWF by conventional mail with the **handwritten signature** of the applicant or by email (office@fwf.ac.at) with a scan of the signature using the applicant’s **qualified electronic signature** (Citizen Card/Mobile Phone Signature).

The **Declaration of commitment by the publisher** must be signed by an authorised signatory of the publisher and bear the publisher’s stamp. It must be uploaded by the applicant as a file on https://elane.fwf.ac.at.

The application is not considered officially submitted until the FWF receives the signed cover sheet as specified above.

2.3 Eligible costs

The types of publications which may or may not be eligible for funding are specified in section 1.4, section 1.5, and section 1.7.

Book publications such as monographs and anthologies/collections need to be applied for in modules. The total funding amount (total of all the requested modules) is awarded as a lump sum grant. Provided that the application is approved, and the rules set down in the application guidelines are followed, grant recipients may dispose freely of the funding, as it is provided as a total budget without separate cost centres.
2.3.1 Modul_Basis

Applicants must always apply for one of two base modules:

- Modul_Basis_CC_BY: The FWF provides a lump sum of **up to €6,000** in funding for production costs and simultaneous open access publishing under a **CC BY licence** (format: PDF/A).

  or (with a €1,000 reduction in the funding amount):

- Modul_Basis_CC_BY-NC: The FWF provides a lump sum of **up to €5,000** in funding for production costs and simultaneous open access publishing under a **CC BY-NC licence** (format: PDF/A).

  *The FWF recommends using the least restrictive licences possible for open-access archiving and recommends the use of a CC BY licence.*

2.3.2 Modul_Lektorat / Modul_Fremdsprachenlektorat / Modul_Übersetzung

If seeking funding for a book publication, proofreading and editing (Modul_Lektorat) is required for texts in the applicant’s native language, and foreign-language editing (Modul_Fremdsprachenlektorat) for texts written in languages other than the applicant’s mother tongue (see section 1.1). If funding is being requested for the translation of a text, applicants should apply for the Modul_Übersetzung (see section 1.1):

- Modul_Lektorat: The FWF provides a lump sum of **up to €4,000** in funding for **proofreading and editing** in the authors’ native language

  or:

- Modul_Fremdsprachenlektorat: The FWF provides a lump sum of **up to €12,000** in funding for **foreign-language editing**

  or:

- Modul_Übersetzung: The FWF provides a lump sum of **up to €8,000** in funding for **translation**

2.3.3 Modul_Zusatzkosten

If needed, an application can also be submitted for the Modul_Zusatzkosten. **Additional costs must be documented in a cost calculation sheet for the entire publication:**

Modul_Zusatzkosten: The FWF provides a lump sum of up to **€4,000** in funding for **additional costs** due to, for example, a higher number of pages or increased expenses for layout and image rights.
2.4 Revising a rejected application

- If the application submitted is a revision of a previously rejected application, this should be indicated in a separate accompanying letter. This letter must contain an overview of all changes made in the resubmitted application; this overview will not be passed on to the reviewers.

- Response(s) to reviews: the applicant can decide whether the response(s) should be passed on only to the relevant previous reviewer concerned or to all reviewers. These response(s) should address the suggestions and criticism expressed in each review of the previous application and describe the corresponding changes made. No response is required to reviews written by individuals who are to be excluded from the review process for the resubmitted application. However, such exclusions must be justified and will also be counted toward the List of reviewers to be excluded (see section 3) for the resubmission.

If all the reviewers are to receive this response(s), the applicant must submit a single document containing an overall response. If these response(s) are to be passed on only to the reviewers who were previously involved, the applicant should include a short response to each review in a separate document.

Resubmissions must show changes. If an application has been rejected for the reasons for rejection C3, C4, or C5, these changes need to be substantial (based on the comments in the reviews). If no such changes are made, the FWF’s decision-making bodies will return the application to the applicant without review.

While no deadlines for the resubmission of a rejected application apply, the respective application requirements do need to be taken into account. Resubmissions must be submitted as described in section 2.2.3, i.e. as a separate, new application and not as a supplementary application to the previously rejected application.

3. Processing of and decision-making

Upon receipt, the FWF checks all applications for completeness and any formal errors. A detailed description of the decision-making process, the criteria for selecting international reviewers, and detailed rules concerning conflicts of interest and the composition of juries and boards can be found in the General Principles of the Decision-Making Procedure.

Information on the average duration of the review process can be found on the FWF Dashboard.

The informative value of reviews of book publications is based on their in-depth evaluation of the following criteria:

- Suitable presentation of the current state of research
- Degree of innovation
- Fulfilment of current scientific standards
Choice of the appropriate form of publication

The applicant will be informed in writing of the FWF’s decision.

Requests for changes and returning applications without review

The FWF will not process incomplete applications, applications which do not comply with the FWF’s regulations, or applications which contain formal errors, unless the applicant can rectify the problems within a reasonable period of time (generally three weeks). If the problems have not been resolved within this period of time, the FWF’s decision-making bodies will return these applications without review. Similarly, applications will not be reviewed if they have been previously rejected by the FWF and resubmitted without appropriate revisions.

All applications that meet the FWF’s formal criteria will – if the FWF is responsible for handling the review process – be sent out for review. The reviewers (generally individuals working outside of Austria) will be selected by the members of the FWF Board and confirmed by the FWF’s decision-making bodies.

Once the review process has begun, no more changes can be made to the application.

In the case of submissions with reviews submitted by the publisher (see Application Guidelines for Stand-Alone Publications - Accelerated Decision-Making Procedure), all applications that meet the FWF’s formal criteria are subject to a decision based on the submitted documents.

The most common reasons why applications are returned by the FWF without review are: (a) ineligibility of the applicant (see section 1.3) and (b) ineligible types of publications and publication formats (see section 1.5).

Reasons for rejection

The reasons for rejecting an application are assigned to one of five categories (C1–C5) and sent to applicants along with the reviews. A detailed description of the categories can be found in the General Principles of the Decision-Making Procedure.

Resubmissions

If the application is a resubmission of a previously rejected proposal, the FWF will generally contact those reviewers who provided constructive criticism on the previous application. Reviewers who gave entirely positive or negative comments will not usually be contacted for a second review. However, please note that all resubmissions are also evaluated by new reviewers.
Proposal bans

Applications that are rejected for reason C5 will be barred for 12 months (from the date of the decision) and cannot be resubmitted during that period.

Applications that have been submitted three times and rejected for reasons C3 or C4 (i.e. the original application and two resubmissions) are also barred for 12 months (from the date of decision). Rejections for reasons C1 or C2 do not count towards this total.

In general, only topics are banned, not applicants.

Exclusion of reviewers

As mentioned in section 2.2.3, an additional document may be uploaded giving a list of up to three reviewers who the applicant believes may have a conflict of interest and whom the applicant therefore does not wish to review the proposal. The applicant must give reasons for why they wish to exclude certain reviewers. If the reasons for exclusion are professionally and academically sound, the FWF will generally fulfil the applicant’s request and exclude those reviewers from the review process. A detailed description of the FWF’s policy on conflicts of interest can be found in the General Principles of the Decision-Making Procedure.

4. After approval

All applications approved by the FWF Board are subject to the condition that the FWF shall review the revised manuscript after completion of proofreading and editing, foreign-language editing, or translation. The FWF issues a grant agreement. The grant agreement must be signed in hard copy by the applicant and the publisher and returned to the FWF by post or sent by e-mail (office@fwf.ac.at), signed with the applicant’s and the publisher’s qualified electronic signature (Citizen card/cell phone signature). In addition, summaries in German and English must be sent to the FWF for public relations purposes.\(^6\) Proofreading and editing, foreign language editing, or translation must be commissioned.

4.1 Settlement with the publisher if it provides all services

The author or editor applies for funding for the publication of stand-alone scientific/scholarly publications. If a publisher provides services eligible for funding in this programme, those costs will be settled directly between the FWF and the publisher for administrative reasons. This does not make the publisher a recipient of FWF funding; the publisher is merely providing services required by the applicant. This means that payment by the FWF does not generally result in any VAT liability on the part of the publisher, as it is remuneration from a third party being paid in the interest of the service recipient (author/editor). For this reason, the FWF’s VAT no. is not to be used. Payments are requested directly by the publisher using

\(^6\) See: Specifications for the preparation of PR summaries
the transfer request form (gross=net), which is included with the respective grant agreement. In this case, there is no invoice number, only the application number is needed. Further documents such as invoices are not required by the FWF.

The publisher is responsible for commissioning proofreading and editing, foreign language editing, or translation. After the publisher has commissioned the necessary proofreading and editing, foreign language editing, or translation, a transfer request for €2,000 for proofreading and editing, €6,000 for foreign language editing, or €4,000 for translation can be submitted.

A positive review by the FWF of the proofreading and editing, foreign language editing, or translation is required before the remaining funds approved can be released by the FWF.

After completion of the publication and submission of all necessary documents (specimen copy, identical digital copy of the publication for open-access archiving [format PDF/A], and the metadata form), the publisher can submit a transfer request for the remaining funds.

### 4.2 Settlement if the publisher does not provide all services

If the publisher is not handling the proofreading and editing, foreign language editing, and/or translation, the payment can be sent directly to the copy editors or translators. In this case, the invoices for the proofreading and editing, foreign language editing, and/or translation issued to the grant recipient must be submitted to the FWF. Payment for proofreading and editing, foreign language editing, and/or translation is made after submission of the invoice and the transfer request.

A positive review by the FWF of the proofreading and editing, foreign language editing, or translation is required before the remaining funds approved can be released by the FWF.

After completion of the publication and submission of all necessary documents (specimen copy, identical digital copy of the publication for open-access archiving [format PDF/A], and the metadata form), the publisher can submit a transfer request for the remaining funds.

For information on payments for applications subject to an accelerated decision-making procedure for publications resulting from an FWF-funded project, please see Application Guidelines for Stand-Alone Publications - Accelerated Decision-Making Procedure.

### 4.3 Review of proofreading and editing, foreign language editing, or translation

After completion of the proofreading and editing, foreign-language editing, or translation (possibly also after incorporation of recommendations and criticism from reviewers), the author is required to submit the revised/translated manuscript to the FWF once again with a record of changes. The author is required to submit the translation or the edited manuscript in which the changes made in the course of the editing or foreign-language editing are clearly indicated. The name of the copy editor and/or translator must be communicated to the FWF.
The FWF expects the following criteria to be addressed during proofreading and editing and foreign-language editing:

▪ Spelling
▪ Punctuation
▪ Grammar
▪ Typography
▪ Writing style / clarity
▪ Inherent logic
▪ Structural rigour and consistency
▪ Structure of arguments
▪ Scholarly rigour
▪ Accuracy of wording
▪ Correction of inconsistencies
▪ Uniform spelling
▪ Citations and references
▪ Uniformity of abbreviations/wording

Any other substantial changes, corrections which involve changes to the content of the work, additions to the work, or abridging of content are not permitted.

5. Compliance with legal requirements and standards of research integrity

Applicants must comply with all legal requirements and safety provisions (e.g., the Federal Disability Equality Act [Bundes-Behindertengleichstellungsgesetz, BGStG]) and obtain all the necessary permits (e.g., from the Ethics Committee, the Animal Testing Commission, the Austrian Federal Monuments Office, or the relevant foreign authorities).

The Guidelines for Good Scientific Practice of the Austrian Agency for Research Integrity (ÖAWI) also apply. If there is reason to believe that an applicant has failed to comply with these standards, the FWF will carry out a plausibility check in accordance with its own procedure. Depending on the circumstances, the FWF can arrange for the Austrian Agency for Research Integrity (ÖAWI) to carry out an investigation. The FWF reserves the right to suspend, in part or in whole, any procedures related to applications or ongoing projects until this check or investigation has been concluded.

The FWF has the right to provide formal information on the procedure at the publisher’s request. However, information on peer reviews conducted by the FWF is passed on only to the applicant.

6. Publication of application data and results

Please note that if a grant is awarded, a summary in German and English will be published on the FWF website for public relations purposes, as well as the grant amount and later, a
link to the open-access archived publication. Summaries must be submitted to the FWF when the grant agreement is returned. Guidelines for writing PR summaries can be found here.

In presentations and the publication, applicants must comply with the relevant requirements on acknowledging the FWF as the funding institution.
Appendix 1:
Notes and questions for reviewers in the Stand-Alone Publications programme – Book Publications

In the Stand-Alone Publications programme – Book Publications, the FWF provides funding for the publication of outstanding scholarly works with a lump sum grant of max. €22,000. This sum includes production costs, the costs of simultaneous open-access publication, and proofreading and editing, foreign-language editing, or translation costs if needed.

All FWF-funded publications must be made freely available in accordance with the FWF’s Open Access Policy.

The FWF only provides funding for applications which already exhibit outstanding quality and comply with the highest international standards for scholarly research in terms of content and form at the time of application.

Please review the present application, using the following seven assessment criteria:

1) Scientific/scholarly quality of the planned publication
2) Completeness of the manuscript with regard to content and formal aspects
3) Suitability of the chosen form of publication
4) Suitability of the chosen publisher
5) Proofreading and editing, foreign-language editing, or translation
6) Ethics and gender aspects
7) Overall evaluation

For each of these criteria except 5) and 6), we ask you for both written comments and a rating on a scale from “excellent” to “poor.” Please be aware, however, that the FWF’s funding decision will be based primarily on reviewers’ written assessments rather than the ratings assigned.

Section 1: Remarks for the applicant

1) Scientific/scholarly quality of the planned publication
   Is the state of research described adequately? Is the underlying research innovative?
   Does it make an original contribution to the research domain? Does it fulfil current scientific standards?

2) Formal and content-related completeness of the manuscript

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7 Further information can be found on our website: Mission Statement and Mission or Application Guidelines for Stand-Alone Publications-Book Publications

8 The planned publication will be subject to either proofreading and editing or foreign language editing, or the manuscript will be translated.
Is there an introduction, abstract, list of references, section for figures and tables, etc.? Would indices, if not already included, be necessary to make the publication more accessible?

3) **Suitability of the chosen form of publication**
   Is the chosen form of publication suitable? The selection of an unsuitable publication form may lead to rejection of the application.

4) **Suitability of the chosen publisher**
   Does the applicant’s choice of publisher ensure maximum quality and international visibility? Would a different publisher be more suitable? The selection of an unsuitable publisher may lead to rejection of the application.

5) **Proofreading and Editing or Foreign-Language Editing**
   Which parts of the publication should be given special consideration during proofreading and editing/foreign-language editing? [only for applications which include a request for proofreading and editing or foreign-language editing]

or

5) **Translation**
   Would a translation ensure broader dissemination of Austrian research in the scientific community or would a translation be relevant for Austrian research? [only for applications which include a request for translation]

6) **Ethics and gender aspects:**
   - **Ethics**: Have ethical considerations been addressed satisfactorily?
   - **Gender**: Have sex-specific and/or gender-related elements been addressed satisfactorily?

7) **Overall evaluation:**
   What is your overall impression of the application? Specifically, what would you consider its key strengths and weaknesses? Please give reasons for your answers, taking as much space as you need.

**Section 2: Confidential remarks to FWF**

Please use this space to make any comments that you do not wish to be conveyed to the applicant(s). Feel free to also give us feedback about the evaluation process and your interactions with us.